

1 EDMUND G. BROWN JR.
Attorney General of the State of California
2 DANE R. GILLETTE
Chief Assistant Attorney General
3 GERALD A. ENGLER
Senior Assistant Attorney General
4 GREGORY A. OTT
Deputy Attorney General
5 MICHELE J. SWANSON, State Bar No. 191193
Deputy Attorney General
6 455 Golden Gate Avenue, Suite 11000
San Francisco, CA 94102-7004
7 Telephone: (415) 703-5703
Fax: (415) 703-1234
8 Email: Michele.Swanson@doj.ca.gov

9 Attorneys for Respondent

10 IN THE UNITED STATES DISTRICT COURT
11 FOR THE NORTHERN DISTRICT OF CALIFORNIA
12 SAN FRANCISCO DIVISION

13 **MONTE L. HANEY,**

Petitioner,

15 v.

16 **DERRAL G. ADAMS, Warden,**

Respondent.

C 07-4682 CRB (PR)

19 **MEMORANDUM OF POINTS AND AUTHORITIES IN SUPPORT OF ANSWER TO**
20 **PETITION FOR WRIT OF HABEAS CORPUS**
21
22
23
24
25
26
27
28

TABLE OF CONTENTS

	Page
STATEMENT OF THE CASE	1
STATEMENT OF FACTS	2
STANDARD OF REVIEW FOR FEDERAL HABEAS PETITIONS BROUGHT BY STATE PRISONERS	5
ARGUMENT	5
I. THE TRIAL COURT’S REFUSAL TO INSTRUCT THE JURY ON AGGRAVATED ASSAULT AS A LESSER INCLUDED OFFENSE OF TORTURE DID NOT VIOLATE PETITIONER’S RIGHT TO DUE PROCESS	5
A. Trial Court Proceedings	5
B. California Court Of Appeal Opinion	6
C. The Court Of Appeal Reasonably Concluded That The Trial Court Had No Duty To Instruct On Aggravated Assault As A Lesser Included Offense Of Torture	6
II. THE PROSECUTOR DID NOT EXERCISE HIS PEREMPTORY CHALLENGES IN A RACIALLY MOTIVATED MANNER	8
A. Jury Voir Dire Proceedings	8
B. The California Supreme Court Reasonably Rejected Petitioner’s Claim Of Prosecutorial Misconduct	8
III. TRIAL AND APPELLATE COUNSEL WERE NOT INEFFECTIVE	11
A. Standard Of Review For Claims Of Ineffective Assistance Of Counsel	12
B. The State Court Reasonably Rejected Petitioner’s Claim Of Ineffective Assistance Of Trial Counsel	12
C. The State Court Reasonably Rejected Petitioner’s Claim Of Ineffective Assistance Of Appellate Counsel	13
CONCLUSION	14

TABLE OF AUTHORITIES

	Page
Cases	
<i>Alberni v. McDaniel</i> 458 F.3d 860 (9th Cir. 2006)	7
<i>Batson v. Kentucky</i> 476 U.S. 79 (1986)	8, 11, 12
<i>Beck v. Alabama</i> 447 U.S. 625 (1980)	7
<i>Brecht v. Abrahamson</i> 507 U.S. 619 (1993)	8
<i>Cupp v. Naughten</i> 414 U.S. 141 (1973)	7
<i>Edwards v. Lamarque</i> 475 F.3d 1121 (9th Cir. 2007)	5
<i>Estelle v. McGuire</i> 502 U.S. 62 (1991)	6, 7
<i>Evitts v. Lucey</i> 469 U.S. 387 (1985)	12
<i>Fry v. Pliler</i> 127 S. Ct. 2321 (2007)	8
<i>Hopkins v. Reeves</i> 524 U.S. 88 (1998)	7
<i>Huddleston v. United States</i> 485 U.S. 681 (1988)	10
<i>James v. Borg</i> 24 F.3d 20 (9th Cir.1994)	8
<i>Jones v. Barnes</i> 463 U.S. 745 (1983)	12
<i>Jones v. Gomez</i> 66 F.3d 199 (9th Cir. 1995)	9
<i>Lockyer v. Andrade</i> 538 U.S. 63 (2003)	5
<i>Mendez v. Small</i> 298 F.3d 1154 (9th Cir. 2002)	6

TABLE OF AUTHORITIES (continued)

	Page
1	
2 <i>Middleton v. McNeil</i>	
3 541 U.S. 433 (2004)	7
4 <i>Miller v. Keeney</i>	
5 882 F.2d 1428 (9th Cir. 1989)	12
6 <i>Miller-El v. Dretke</i>	
7 545 U.S. 231 (2005)	5
8 <i>Mitleider v. Hall</i>	
9 391 F.3d 1039 (9th Cir. 2004)	11
10 <i>People v. Martinez</i>	
11 125 Cal. App. 4th 1035 (2005)	6
12 <i>Rice v. Collins</i>	
13 546 U.S. 333 (2006)	8
14 <i>Rupe v. Wood</i>	
15 93 F.3d 1434 (9th Cir. 1996)	13
16 <i>Smith v. Robbins</i>	
17 528 U.S. 259 (2000)	12
18 <i>Solis v. Garcia</i>	
19 219 F.3d 922 (9th Cir. 2000)	7
20 <i>Stanton v. Benzler</i>	
21 146 F.3d 726 (9th Cir. 1998)	6
22 <i>Strickland v. Washington</i>	
23 466 U.S. 668 (1984)	12, 13
24 <i>Teague v. Lane</i>	
25 489 U.S. 288 (1989)	7
26 <i>Tolbert v. Gomez</i>	
27 190 F.3d 985 (9th Cir. 1999)	11
28 <i>Tolbert v. Page</i>	
182 F.3d 677 (9th Cir. 1999)	7
<i>Turner v. Calderon</i>	
281 F.3d 851 (9th Cir. 2002)	13
<i>Turner v. Marshall</i>	
63 F.3d 807 (9th Cir. 1995)	7
<i>United States v. Smith</i>	
223 F.3d 554 (7th Cir. 2000)	10

TABLE OF AUTHORITIES (continued)

	Page
1	
2 <i>United States v. Thompson</i>	
3 827 F.2d 1254 (9th Cir. 1987)	10
4 <i>United States v. Vaccaro</i>	
5 816 F.2d 443 (9th Cir. 1987)	10
6 <i>Wilson v. Henry</i>	
7 185 F.3d 986 (9th Cir.1999)	13
8 <i>Windham v. Merkle</i>	
9 163 F.3d 1092 (9th Cir. 1998)	7
10 <i>Woodford v. Visciotti</i>	
11 537 U.S. 19 (2002)	5
12 <i>Yarborough v. Gentry</i>	
13 540 U.S. 1 (2003)	12
14	
15 Statutes	
16 United States Code, Title 28	
17 § 2254(d)	7
18 § 2254(d)(1)	5
19	
20 Other Authorities	
21 Antiterrorism and Effective Death Penalty Act of 1996 (AEDPA)	5
22	
23	
24	
25	
26	
27	
28	

1 EDMUND G. BROWN JR.
Attorney General of the State of California
2 DANE R. GILLETTE
Chief Assistant Attorney General
3 GERALD A. ENGLER
Senior Assistant Attorney General
4 GREGORY A. OTT
Deputy Attorney General
5 MICHELE J. SWANSON, State Bar No. 191193
Deputy Attorney General
6 455 Golden Gate Avenue, Suite 11000
San Francisco, CA 94102-7004
7 Telephone: (415) 703-5703
Fax: (415) 703-1234
8 Email: Michele.Swanson@doj.ca.gov
Attorneys for Respondent

9
10 IN THE UNITED STATES DISTRICT COURT
11 FOR THE NORTHERN DISTRICT OF CALIFORNIA
12 SAN FRANCISCO DIVISION

13 **MONTE L. HANEY,**

14 Petitioner,

15 v.

16 **DERRAL G. ADAMS, Warden,**

17 Respondent.

C 07-4682 CRB (PR)

**MEMORANDUM OF POINTS
AND AUTHORITIES IN
SUPPORT OF ANSWER TO
PETITION FOR WRIT OF
HABEAS CORPUS**

18
19
20 **STATEMENT OF THE CASE**

21 In 2005, a jury found petitioner guilty of aggravated mayhem, torture, assault by means
22 of force likely to produce great bodily injury, assault with a deadly weapon, corporal injury on a
23 cohabitant, and criminal threats, and found associated great bodily injury and deadly weapon
24 enhancements to be true. 2 CT 425, 436-443.^{1/} The trial court sentenced petitioner to life in prison

25
26 1. "CT" refers to the State Court Clerk's Transcript, which is contained in Exhibits 1A to 1E
27 filed in support of the Answer. "RT" refers to the State Court Reporter's Transcript of trial
28 proceedings, which is contained in Exhibits 2A to 2Q filed in support of the Answer. "RT [Jury
Selection]" refers to the State Court Reporter's Transcript of jury voir dire, which is contained in

1 with the possibility of parole, plus seven years. 2 CT 482-485.

2 In 2006, the California Court of Appeal modified the judgment and sentence on the great
3 bodily injury enhancements to comport with the jury's findings, but otherwise affirmed the judgment
4 of conviction. Exh. 5. In 2007, the California Supreme Court denied a petition for review. Exhs.
5 6, 7. That same year, the California Supreme Court denied a petition for writ of habeas corpus.
6 Exhs. 8, 9.

7 Petitioner filed the instant federal habeas petition on September 11, 2007. On January 11,
8 2008, this Court ordered respondent to show cause why the petition should not be dismissed.

10 STATEMENT OF FACTS

11 The California Court of Appeal summarized the facts of the case as follows:

12 A. *People's Case*

13 Prior to the time of the incidents in question, appellant had been in and out of jail at
14 least twice, and he and Margie Holmes had an on and off relationship for about nine
15 months. At some point Margie moved in with her grandmother, Eunice Holmes, to care
16 for her, as she was ill. Eunice lived in the Rosa Parks seniors facility on Turk Street in
San Francisco. After his most recent release, appellant stayed at the Rosa Parks apartment
as well.

17 When Margie returned from work on June 11, 2002, her grandmother and appellant
18 were at the apartment. Margie had "a really bad feeling" something was going to happen
because appellant "was looking very strange." Appellant "had to have marijuana" and
"did a lot of" methamphetamine.

19 Appellant was irritable and said he "nee[ed] some." Margie borrowed \$10 from her
20 grandmother and gave it to appellant. Margie believed that appellant left for awhile.

21 Later that afternoon appellant started walking back and forth between the bedroom
22 and the hallway. He was looking at Margie, with a frightening look. Appellant motioned
23 to her to "come here." Margie was afraid and told appellant "No, you might do
24 something." Appellant persisted, more forcefully. Margie approached appellant and
25 walked past him, toward their bedroom. Just as she reached the room she felt something
26 heavy crash into her head; blood ran down her head. Appellant called her a "bitch" and
hit her again on the head. Margie fell to her knees. The pain was excruciating and Margie
felt faint. Margie saw a cleaver-like knife in his hand; it came from her grandmother's
kitchen. Appellant struck her, splitting open her left eyebrow. Margie pleaded for him
to stop, grabbing his leg, but appellant kicked her arm and went back to the kitchen.
Margie called to her grandmother. Appellant returned with a much smaller knife and
proceeded to stab her again, this time on her right side, and two pokes on the thigh. He

27 Exhibits 3A to 3B filed in support of the Answer.

1 told Margie "I hate you."

2 Eunice came in and started screaming for appellant to leave her granddaughter alone.
 3 Appellant told her, "Mama, if you don't give me any money, I'm going to kill this bitch."
 4 Eunice said she didn't have any money. Margie knew her grandmother kept money in her
 5 bra and begged her to give appellant some money. Margie collapsed. Eunice fled to enlist
 6 help from the security guard, Zaid El-Amin. El-Amin contacted Kenneth Babb, the
 7 manager.

8 Appellant straddled Margie and told her "I'm going to kill you, bitch." He grabbed
 9 her hair, pulled her head to the right and held her neck so she could not move. Appellant
 10 put his hand in her left eye and "started to dig in it," "started pulling it out," saying, "I've
 11 got to get it. I've just got to get it." Margie could feel her eye "just jingling back and
 12 forth" from her cheek. Appellant repeated that he was going to kill her. Margie felt a
 13 tremendous amount of pressure as appellant was pulling out her eye, then heard something
 14 "snap" and knew her eye was gone. She blacked out.

15 When she came to, appellant was still in the room. Margie tried to remain still so
 16 appellant would think she was dead. Eventually she no longer heard footsteps. Crawling
 17 out of the bedroom, Margie saw her eye, "still wriggling." She grabbed it, then called her
 18 mother and 911. Margie was taken by ambulance to San Francisco General Hospital.

19 Meanwhile, Babb was rushing with Eunice to her apartment on the fourth floor. He
 20 asked El-Amin to call the police. Babb passed appellant by the elevator; he was walking
 21 very calmly. As El-Amin reached for the phone, appellant touched the receiver and said,
 22 "[Y]ou don't have to call the police dog, I'm gone, I'm leaving." He looked "a little
 23 amped" from a domestic dispute, but not hysterical like Eunice. El-Amin did not think
 24 the situation was "too bad" and thus did not follow appellant as he walked out of the
 25 building.

26 The emergency room physician was not able to reattach Margie's eye because the
 27 optic nerve had been severed. He testified that it would take "considerable force" to
 28 remove an eye. Margie also suffered two stab wounds to the right flank, two to the right
 thigh, an eyelid laceration and a forehead laceration.

Margie's mother rushed to the hospital. There, she received a call from appellant.
 After asking how Margie was doing, he said, "I'm going to kill that bitch."

The police arrested appellant the next day. He told the police that he and Margie had
 been smoking crack and drinking beer. They got into an argument and he left when
 Eunice asked him to leave. He denied that anything happened and claimed to have
 blacked out. Appellant said he had been high on speed and crack for seven or eight days.
 He claimed there was something wrong with Margie and said she smoked a lot of crack.

23 *B. Defense*

24 Appellant presented a mental disease defense based on the testimony of three experts.

25 Psychiatrist Amen conducted two SPECT^{2/} brain scans on appellant in August 2004.
 26 These scans look at brain blood flow and activity. Dr. Amen concluded that appellant had
 27 overall decreased activity in the brain, and the condition worsened when appellant

28 2. SPECT stands for single photon emission computed tomography.

1 concentrated. Dr. Amen believed appellant had trauma to his brain in the past, and
2 probably toxic exposure that starved the neurons of oxygen. Toxic exposure could result
3 from drug abuse, working in toxic environment, infection, near drowning, etc. Dr. Amen
4 characterized appellant's brain as "clearly dysfunctional and damaged." Significant
5 decreased activity as found in appellant's scans normally is associated with problems with
6 judgment, impulse control, organization, planning and empathy. Appellant's brain would
7 have looked "significantly worse" had the scan been conducted at the time of the
8 incidents, due to lack of sleep and heavy drug use.

9 Neuropsychologist Young performed a series of psychological and
10 neuropsychological evaluations of appellant. Appellant cooperated fully and there were
11 no indications that he was malingering or faking his responses. Dr. Young concluded that
12 appellant's intellectual functioning was in the borderline range. He suffered significant
13 impairment of the temporal and frontal lobes, and the impairment affected all the brain
14 areas. The functions associated with attention and concentration, memory and learning,
15 and executive functioning were found to be "the most impaired." Studies have shown that
16 impaired frontal lobe functioning is a predictor for violence.

17 Dr. Smith, a specialist in addiction medicine, also evaluated appellant. According
18 to Dr. Smith, the earlier onset of addiction, the more brain impairment occurs. Appellant
19 reported to Dr. Smith that his father started giving him alcohol and marijuana at the age
20 of seven. He began smoking crack cocaine at 15 and shortly thereafter started using
21 methamphetamine, his drug of choice.

22 Appellant also related that upon his release from prison on June 2, 2002, he began
23 smoking high doses of methamphetamine, with minimal sleep. Over the course of this
24 speed run, appellant's brain became more progressively impaired and he experienced some
25 characteristics of amphetamine psychosis, including paranoia and "ideas of reference."
26 However, Dr. Smith opined that appellant's violent outburst resulted from a high-dose,
27 methamphetamine-induced rage overreaction to an actual sensory event; it was not a total
28 delusion.

17 C. Rebuttal

18 Clinical neuropsychologist Lynch found fault with some of Dr. Young's testing and
19 the results. He also stated from his review of Dr. Young's evaluation that he would not
20 have ruled out the possibility that appellant had an antisocial personality disorder.

21 Neurologist Cassini testified that he did not believe SPECT scans were very useful
22 in evaluating cognitive impairment or brain trauma. Further, he questioned whether Dr.
23 Young had the best information about his background at the time of the evaluation, and
24 believed that appellant's poor education, and the absence of challenges, training, and work
25 experiences throughout his life could account for the test results. "You don't have to give
26 him brain damage or . . . some kind of disease process to explain" "why he's functioning
27 the way he is." Additionally, Dr. Cassini also noted that there was no mention of brain
28 damage in appellant's medical or prison records. Further, he challenged Dr. Young's
conclusions, from the tests administered, that appellant had frontal lobe damage and was
more prone to blacking out.

26 D. Surrebuttal

27 Dr. Young defended all the tests she used, testifying that they were valid, reliable and
28 accepted within the neuropsychological community.

Exh. 5 at 2-6.

**STANDARD OF REVIEW FOR FEDERAL HABEAS PETITIONS
BROUGHT BY STATE PRISONERS**

This case is governed by the Antiterrorism and Effective Death Penalty Act of 1996 (AEDPA), which imposes a "highly deferential" standard for evaluating state court rulings and "demands that state court decisions be given the benefit of the doubt." *Woodford v. Visciotti*, 537 U.S. 19, 24 (2002) (per curiam). Under AEDPA, the federal court has no authority to grant habeas relief unless the state court's ruling was "contrary to, or involved an unreasonable application of," clearly established Supreme Court precedent. 28 U.S.C. § 2254(d)(1). A decision constitutes an unreasonable application of Supreme Court law only if the state court's application of law to the facts is not merely erroneous, but "objectively unreasonable." *Lockyer v. Andrade*, 538 U.S. 63, 75 (2003). Thus, "[o]nly if the evidence is 'too powerful to conclude anything but' the contrary" should the court grant relief. *Edwards v. Lamarque*, 475 F.3d 1121, 1126 (9th Cir. 2007) (en banc) (quoting *Miller-El v. Dretke*, 545 U.S. 231, 265 (2005)). The petitioner bears the burden of showing that the state court's decision was unreasonable. *Visciotti*, 537 U.S. at 25.

ARGUMENT

I.

**THE TRIAL COURT'S REFUSAL TO INSTRUCT THE JURY ON
AGGRAVATED ASSAULT AS A LESSER INCLUDED OFFENSE OF
TORTURE DID NOT VIOLATE PETITIONER'S RIGHT TO DUE
PROCESS**

Petitioner contends that the trial court's refusal to instruct the jury on aggravated assault as a lesser included offense of torture violated his right to due process. Petition at 6. However, because aggravated assault is not a lesser included offense of torture under California law, the trial court had no duty to so instruct the jury.

A. Trial Court Proceedings

During a discussion of jury instructions, defense counsel asked the court to instruct the jury

1 on assault with force likely to produce great bodily injury as a lesser included offense of torture. 14
 2 RT 982. Defense counsel cited no authority for such instruction. 14 RT 982. The court denied the
 3 requested instruction, noting, “[T]he elements for torture don’t appear to have included within them
 4 the elements for a 245(a) assault, so first blush and a first reading it doesn’t appear to me that that
 5 would be a lesser to torture.” 14 RT 982.

6 The next day, the court revisited the issue after defense counsel cited *People v. Martinez*,
 7 125 Cal. App. 4th 1035 (2005) in support of the requested instruction. 15 RT 1005-1006. The court
 8 found that *Martinez* did not mandate the instruction. 15 RT 1008-1009.

9 **B. California Court Of Appeal Opinion**

10 The California Court of Appeal concluded that, under state law, aggravated assault is not
 11 a lesser included offense of torture because “the statutory elements of torture do not include all the
 12 elements of an aggravated assault.” Exh. 5 at 8. The appellate court concluded that the trial court
 13 was therefore not required to give the requested instruction. Exh. 5 at 6.

14 **C. The Court Of Appeal Reasonably Concluded That The Trial Court Had No 15 Duty To Instruct On Aggravated Assault As A Lesser Included Offense Of 16 Torture**

16 The state court’s conclusion that aggravated assault is not a lesser included offense of
 17 torture as those offenses are defined in California constitutes a determination of a state law question
 18 that cannot be revisited by this Court. *See Stanton v. Benzler*, 146 F.3d 726, 728 (9th Cir. 1998) (the
 19 state is free to define the elements of a particular offense, and a state court’s determination of what
 20 constitutes an element of that offense is not open to challenge on habeas review); *see generally*
 21 *Estelle v. McGuire*, 502 U.S. 62, 67-68 (1991) (“[I]t is not the province of a federal habeas court to
 22 reexamine state-court determinations on state-law questions.”); *Mendez v. Small*, 298 F.3d 1154,
 23 1158 (9th Cir. 2002) (“A state court has the last word on the interpretation of state law.”). Because
 24 petitioner’s entire argument is based on his interpretation of state law, his claim is not cognizable
 25 on federal habeas.

26 Even if the state court had not rejected the contention that aggravated assault is a lesser
 27 included offense of torture, this Court could still not reach the merits of petitioner’s claim. “Under
 28

1 the law of this circuit, the failure of a state trial court to instruct on lesser included offenses in a non-
 2 capital case does not present a federal constitutional question.” *Solis v. Garcia*, 219 F.3d 922, 929
 3 n.7 (9th Cir. 2000) (quoting *Windham v. Merkle*, 163 F.3d 1092, 1106 (9th Cir. 1998)). In addition,
 4 there is no clearly established Supreme Court authority requiring such instructions; the Supreme
 5 Court has held only that a defendant may be entitled to lesser included instructions in a capital case,
 6 and has expressly declined to decide whether that holding extends to non-capital cases. *Beck v.*
 7 *Alabama*, 447 U.S. 625, 638 n.14 (1980). The Supreme Court’s reservation of an issue demonstrates
 8 that the law is not “clearly established” for purposes of 28 U.S.C. § 2254(d). *Alberni v. McDaniel*,
 9 458 F.3d 860, 863-867 (9th Cir. 2006). Further, because the circuit courts are split as to whether
 10 *Beck* applies to non-capital cases, habeas relief is precluded under the doctrine of *Teague v. Lane*,
 11 489 U.S. 288 (1989). *Turner v. Marshall*, 63 F.3d 807, 819 (9th Cir. 1995), overruled on other
 12 grounds in *Tolbert v. Page*, 182 F.3d 677 (9th Cir. 1999). And the Constitution clearly does not
 13 require instructions on lesser *nonincluded* offenses. See *Hopkins v. Reeves*, 524 U.S. 88, 96-97
 14 (1998).

15 Finally, even assuming petitioner could overcome the two hurdles discussed above, he
 16 cannot meet his burden under 28 U.S.C. § 2254(d) to show that the state court’s decision was
 17 objectively unreasonable. See *Middleton v. McNeil*, 541 U.S. 433, 438 (2004) (per curiam). A claim
 18 of state instructional error can be the basis of federal habeas relief only if the error, considered in
 19 light of all the instructions given in addition to the trial record, “so infected the entire trial that the
 20 resulting conviction violates due process.” *Estelle v. McGuire*, 502 U.S. at 72 (quoting *Cupp v.*
 21 *Naughten*, 414 U.S. 141, 147 (1973)). The test for constitutional error is whether there is a
 22 “reasonable likelihood” the jury misapplied the instructions. *Id.* The jury in this case convicted
 23 petitioner of both aggravated assault and torture. The jury therefore necessarily found the elements
 24 of both offenses to be satisfied beyond a reasonable doubt. Moreover, because the jury was
 25 instructed on both offenses, it was not forced into an all or nothing choice in this case. Accordingly,
 26 there is no reasonable likelihood the omission of the lesser included offense instruction affected the
 27 jury’s verdict. Further, because the jury found petitioner guilty of both aggravated assault and
 28

1 torture, any error was harmless under *Brecht v. Abrahamson*, 507 U.S. 619, 637 (1993). *Fry v.*
 2 *Pliler*, 127 S. Ct. 2321, 2328 (2007).

3 II.

4 **THE PROSECUTOR DID NOT EXERCISE HIS PEREMPTORY** 5 **CHALLENGES IN A RACIALLY MOTIVATED MANNER**

6 Petitioner contends that the prosecutor used his peremptory challenges to exclude all
 7 African Americans from the jury. Petition at 6. Petitioner, however, has failed to state a viable
 8 claim. In any event, the record does not support petitioner's contention.

9 **A. Jury Voir Dire Proceedings**

10 The prosecutor exercised nine peremptory challenges during voir dire. 2 RT [Jury
 11 Selection] 203-204, 214-215, 255-257, 272. Defense counsel did not object to any of the
 12 prosecutor's challenges.

13 **B. The California Supreme Court Reasonably Rejected Petitioner's Claim Of** 14 **Prosecutorial Misconduct**

15 The United States Constitution prohibits the use of a peremptory challenge of a juror based
 16 solely on race. *Batson v. Kentucky*, 476 U.S. 79, 89 (1986). A *Batson* claim requires a three-step
 17 inquiry. *Rice v. Collins*, 546 U.S. 333, 338 (2006). First, the defendant must make a prima facie
 18 showing that a challenge was based on race. *Id.* Second, the prosecutor must give a race-neutral
 19 reason for the challenge. *Id.* Third, the trial court must determine whether the defendant has carried
 20 his burden of proving purposeful discrimination. *Id.*

21 As a threshold matter, we note that it is well-settled that "[c]onclusory allegations which
 22 are not supported by a statement of specific facts do not warrant habeas relief." *James v. Borg*, 24
 23 F.3d 20, 26 (9th Cir.1994). Here, petitioner contends that the prosecutor used his peremptory
 24 challenges to exclude "all African Americans" from the jury, Petition at 6, but does not identify
 25 which jurors he claims the prosecutor challenged on racial grounds or make any reference to the
 26 record. The claim is difficult to address on the record alone because defense counsel did not object
 27 to the prosecutor's exercise of his peremptory challenges, the trial court did not conduct the three-

1 step inquiry set forth above, and it is impossible to discern the race of the jurors challenged by the
 2 prosecutor from the jury voir dire transcripts or the challenged juror questionnaires. The claim
 3 should accordingly be dismissed for lack of specificity. *Jones v. Gomez*, 66 F.3d 199, 205 (9th Cir.
 4 1995).

5 Even if this Court declines to dismiss the claim for lack of specificity, we submit that
 6 sufficient race-neutral reasons support all of the prosecutor's peremptory challenges, and that a prima
 7 facie case of discrimination cannot be established by petitioner.

8 Race-neutral reasons for the prosecutor's peremptory challenges are apparent for all of the
 9 jurors challenged by the prosecutor. For instance, many jurors had backgrounds in, or familiarity
 10 with, illegal drug use, mental illness, or the mental health or medical fields, which raised the concern
 11 that they might be more accepting of a mental defense based on heavy drug use. J.B.(1) had a friend
 12 in college who was a heroin addict and died from an overdose; thought it was "hard to say" how the
 13 experience might affect his ability to be fair; and believed "you can't really judge" people who are
 14 addicted to drugs because the drugs make them lose control.^{3/} See Exh. 4A at 5-6 [question 20(a)
 15 & (e)]. J.K. was a graduate student at UCSF who researched psychological disorders; had a mother
 16 with a drug problem; had a stepfather with a mental disorder; had himself been to a psychologist;
 17 believed psychologists and psychiatrists were helpful; and might tend to believe the testimony of
 18 such experts. See Exh. 4B at 2, 5-7 [questions 1, 20, 23- 27]. R.G. had been arrested in the past for
 19 public intoxication; had friends who were addicted to crystal meth; thought people on crystal meth
 20 could not think clearly; believed people on drugs needed help; had sympathy for drug users that
 21 might affect his ability to be fair; had a sister with a mental illness who had seen several psychiatrists
 22 in the past; thought it was "very important" to hear from experts on a mental defense; and believed
 23 leniency should be given to an accused "if a mental state of insanity is obvious." Exh. 4D at 3-5
 24 [questions 9-10, 14, 16, 20-22, 24-27, 30]. W.N. had degrees in physics and biomedical engineering;

25

26

27

28

3. We refer to the challenged jurors by their first and last initials in order to preserve their
 privacy. Because two of the challenged jurors have the same initials, we will refer to them as J.B.(1)
 and J.B.(2).

1 was an assistant research physicist at UCSF; worked on developing surgical devices; had a former
 2 roommate who was a drug user and did not know how that experience might affect his ability to be
 3 fair; was friends with a neurologist, psychologist, and psychiatrist; and was “very familiar” with
 4 brain imaging, or spectrometry. 1 RT [Jury Selection] 87-88, 116-117; Exh. 4F at 2, 5 [questions
 5 1, 5, 20]. J.D. and J.B.(2) were social workers who had provided psychiatric counseling in the past
 6 and were familiar with substance abuse issues. 2 RT [Jury Selection] 144-145, 248; Exh. 4G at 2,
 7 6 [questions 2, 23]; Exh. 4H at 6 [question 23]; *see United States v. Thompson*, 827 F.2d 1254, 1260
 8 (9th Cir. 1987) (a juror’s occupation constitutes a race-neutral reason for a peremptory challenge).
 9 In addition, J.D. had consulted with a psychologist or psychiatrist in the past for depression; thought
 10 that the testimony of such professionals could be valuable in evaluating a mental defense; thought
 11 she might be more attuned to such testimony as a mental health professional herself; and knew one
 12 of the mental health experts who would be testifying on behalf of the defense. 2 RT [Jury Selection]
 13 145; Exh. 4G at 7, 10 [questions 24-27, 39]. J.B.(2) had been arrested in the past for possession of
 14 marijuana; had seen a psychiatrist for an addiction to speed; thought he might be biased because of
 15 his past drug use; and believed he “would probably side with psychologists or psychiatrists about a
 16 mental illness or impairment suffered by the accused.” 2 RT [Jury Selection] 248; Exh. 4H at 4-7
 17 [questions 14, 20-22, 25, 27]. And finally, S.M. had been treated by a psychiatrist in the past for
 18 mental illness. Exh. 4I at 7 [questions 24-25].

19 Many of the challenged jurors also gave indications that they might be biased against the
 20 prosecution. J.K., M.C., and S.M. had family members who had been arrested or prosecuted for
 21 committing crimes. 1 RT [Jury Selection] 63, 85; 2 RT [Jury Selection] 254-255; *see also* Exh. 4E
 22 at 4 [question 14]; Exh. 4B at 4 [questions 14-15]; Exh. 4I at 4 [question 14]; *United States v.*
 23 *Vaccaro*, 816 F.2d 443, 457 (9th Cir. 1987), overruled on other grounds by *Huddleston v. United*
 24 *States*, 485 U.S. 681 (1988) (challenging a juror based on family member’s contact with the criminal
 25 justice system proper); *see also United States v. Smith*, 223 F.3d 554, 569 (7th Cir. 2000) (prosecutor
 26 in drug trial gave nondiscriminatory reason for striking juror whose brother had been prosecuted for
 27 drugs). I.S., R.G., and W.N. were all victims of crime, with I.S. and R.G. reporting having negative
 28

1 experiences with the police, and W.N. indicating that the police never arrested anyone in his case
 2 and that he did not know if the incident would cause him to be biased. 1 RT [Jury Selection] 66, 69,
 3 74-75; Exh. 4C at 3-4 [questions 10, 15]; Exh 4D at 4 [question 15]; Exh. 4F at 4 [question 15].
 4 Additionally, R.G. thought he might distrust a police officer's testimony because he felt that police
 5 officers were biased, and W.N. felt that the crime rate in San Francisco was "very high" and might
 6 cause him to be biased. Exh. 4D at 3 [question 9]; Exh. 4F at 5 [question 18]; *see Mitleider v. Hall*,
 7 391 F.3d 1039, 1048 (9th Cir. 2004) (prosecutor's concerns of bias toward law enforcement valid
 8 basis for challenge). Further, R.G. thought the judicial system was unfair and biased, while J.B.(2)
 9 felt that some conduct should not be classified as criminal and that his feelings might cause him to
 10 be biased. 1 RT [Jury Selection] 69-70; Exh. 4D at 9 [question 36]; Exh. 4H at 5 [question 18]; *see*
 11 *Tolbert v. Gomez*, 190 F.3d 985, 989 (9th Cir. 1999) (challenging a juror based on his expressed
 12 opinion of the judicial system does not violate *Batson*). Moreover, R.G. was a supporter of several
 13 prisoners' rights organizations. Exh. 4D at 5 [question 17].

14 Finally, a couple of the challenged jurors had race-neutral issues that made them less than
 15 ideal candidates for sitting on a jury. M.C. had trouble understanding some of the questions on the
 16 juror questionnaire but could not articulate what she did not understand, raising the concern that she
 17 might have trouble understanding the trial proceedings and communicating her views during
 18 deliberations. 1 RT [Jury Selection] 109-110. And I.S. got migraines if she sat for too long, raising
 19 the concern that she might be unable to focus on the trial if she developed a migraine during the
 20 proceedings. 1 RT [Jury Selection] 114-115; Exh. 4C at 9 [question 36].

21 In sum, the record reveals legitimate race-neutral reasons for challenging all of these
 22 jurors, and petitioner cannot make out a prima facie case of discrimination. Accordingly, petitioner
 23 has failed to satisfy his burden of proving his *Batson* claim.

24 25 III.

26 TRIAL AND APPELLATE COUNSEL WERE NOT INEFFECTIVE

27 Petitioner contends that trial counsel was ineffective for failing to object to the
 28

1 prosecutor's use of peremptory challenges to exclude all African Americans from the jury. Petition
 2 at 6. He further contends that appellate counsel was ineffective for failing to argue that petitioner
 3 was improperly convicted of five offenses for one course of conduct. Petition at 6. There is no merit
 4 to either of these claims.

5 **A. Standard Of Review For Claims Of Ineffective Assistance Of Counsel**

6 In order to prevail on a claim of ineffective assistance of counsel, a defendant must
 7 establish that: (1) counsel's performance fell below an objective standard of reasonableness; and (2)
 8 there is a reasonable probability that, but for counsel's errors, he would have received a more
 9 favorable result. *Strickland v. Washington*, 466 U.S. 668, 687 (1984). The *Strickland* standard
 10 applies to claims of ineffective assistance of appellate counsel as well as trial counsel. *Evitts v.*
 11 *Lucey*, 469 U.S. 387, 396 (1985). On federal habeas, a petitioner must show that the state court
 12 applied *Strickland* to the facts of his case in an objectively unreasonable manner. *Yarborough v.*
 13 *Gentry*, 540 U.S. 1, 5 (2003) (per curiam).

14 "There can hardly be any question about the importance of having the appellate advocate
 15 examine the record with a view to selecting the most promising issues for review. This has assumed
 16 a greater importance in an era when oral argument is strictly limited in most courts—often to as little
 17 as 15 minutes—and when page limits on briefs are widely imposed." *Jones v. Barnes*, 463 U.S. 745,
 18 752-753 (1983). "Experienced advocates since time beyond memory have emphasized the
 19 importance of winnowing out weaker arguments on appeal and focusing on one central issue if
 20 possible, or at most on a few key issues." *Id.* at 751-752; accord, *Miller v. Keeney*, 882 F.2d 1428,
 21 1434 (9th Cir. 1989) ("the weeding out of weaker issues is widely recognized as one of the hallmarks
 22 of effective appellate advocacy"). Thus, "it is still possible to bring a *Strickland* claim based on
 23 [appellate] counsel's failure to raise a particular claim, but it is difficult to demonstrate that counsel
 24 was incompetent." *Smith v. Robbins*, 528 U.S. 259, 288 (2000).

25 **B. The State Court Reasonably Rejected Petitioner's Claim Of Ineffective** 26 **Assistance Of Trial Counsel**

27 Petitioner contends that defense counsel should have raised a *Batson* objection to the
 28

1 prosecutor's use of peremptory challenges to exclude all African Americans from the jury. However,
 2 as noted above in Argument II, sufficient race-neutral reasons existed for all of the jurors challenged
 3 by the prosecutor. As counsel cannot be faulted for not making a futile objection, petitioner's claim
 4 of ineffective assistance of counsel necessarily fails. *See Wilson v. Henry*, 185 F.3d 986, 990 (9th
 5 Cir.1999) (to show prejudice under *Strickland* from failure to file a motion, petitioner must show that
 6 (1) had his counsel filed the motion, it is reasonable that the trial court would have granted it as
 7 meritorious, and (2) had the motion been granted, it is reasonable that there would have been an
 8 outcome more favorable to him); *Rupe v. Wood*, 93 F.3d 1434, 1445 (9th Cir.1996) (failure to take
 9 futile action can never be deficient performance).

10 **C. The State Court Reasonably Rejected Petitioner's Claim Of Ineffective**
 11 **Assistance Of Appellate Counsel**

12 Petitioner contends that appellate counsel was ineffective for failing to argue that petitioner
 13 was improperly convicted of five offenses for one course of conduct. We note, however, that
 14 counsel did raise this argument on appeal with respect to two of petitioner's convictions: aggravated
 15 assault and torture. In rejecting the argument, the California Court of Appeal noted the following:

16 In California, a single act or course of conduct by a defendant can result in multiple
 17 convictions. (§ 954; *People v. Pearson* (1986) 42 Cal. 3d 351, 354.) Citing the judicially
 18 created exception to this rule which prohibits multiple convictions based on necessarily
 19 included offenses (*People v. Reed* (2006) 38 Cal. 4th 1224, 1227), appellant urges that we
 20 strike his conviction for aggravated assault as necessarily included within the torture
 21 conviction. Aggravated assault is not a lesser included offense of torture and thus we will
 22 not strike the former conviction.

23 Exh. 5 at 9.

24 Given California's rule that a single course of conduct can result in multiple convictions
 25 except in the case of necessarily included offenses, appellate counsel was not ineffective for not
 26 making this argument with regard to all five of petitioner's convictions. *See Turner v. Calderon*, 281
 27 F.3d 851, 872 (9th Cir. 2002) (the failure to raise untenable claims on appeal does not constitute
 28 ineffectiveness). Moreover, given California's rule that a defendant may sustain multiple
 convictions for one course of conduct, petitioner cannot show any prejudice arising from counsel's
 performance.

CONCLUSION

Accordingly, respondent respectfully requests that the petition for writ of habeas corpus be denied.

Dated: July 24, 2008

Respectfully submitted,

EDMUND G. BROWN JR.
Attorney General of the State of California

DANE R. GILLETTE
Chief Assistant Attorney General

GERALD A. ENGLER
Senior Assistant Attorney General

GREGORY A. OTT
Deputy Attorney General

/s/ Michele J. Swanson
MICHELE J. SWANSON
Deputy Attorney General
Attorneys for Respondent

20126076.wpd
SF2008400165